

Illinois Department of Human Services Division of Developmental Disabilities

Medicaid Home and Community Based Waivers for Children and
Adults with Developmental Disabilities (DD)

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Mission, Values, Vision

The Division of Developmental Disabilities oversees Illinois' system of programs and services specifically designed for individuals with developmental disabilities.

- **Mission**

Supporting People to attain their wants, needs and dreams.

- **Vision**

We believe in opportunities for those we serve so they can maximize control over their life choices to achieve personal success including:

- Having relationships with family and friends
- Being healthy and safe
- Contributing to the community
- Making decisions
- Engaging in competitive employment



**Supporting People to Attain
Their Wants, Needs and Dreams**

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DDD Overview

FY22 Budget: About \$2.3 billion

Staff Total: About 4,100

- Operates 7 State Operated Developmental Centers serving ~ 1650 residents
- Administers 3 Home and Community Based Medicaid Waivers serving ~ 25,000 children and adults with I/DD
- Funds and provides placement for Intermediate Care Facilities for Individuals with I/DD serving ~ 3800 adults with I/DD
- Funds grant programming including Respite, Special Olympics, Best Buddies, the Arc of Illinois, etc.

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Ligas Consent Decree

- June 15, 2011 – the State entered into a Consent Decree settling the Ligas v. Hamos lawsuit
- Class Members - adults with I/DD living in state-funded, private, Intermediate Care Facilities (ICF/DD) with 9 or more residents wishing to move to community-based settings or at home wishing to receive community-based services.
- The Consent Decree is ultimately focused on adults with I/DD's right to have access to:
 - A person-centered, coordinated, integrated, community-based system.
 - Services and supports, programs, and activities in the most integrated setting that meets their choices and needs.

Ligas Consent Decree

Decree areas of compliance include:

- Financial Resources & Capacity
- System Monitoring and Quality Review
- Transitions: ICF/DD and PUNS
- Person-Centered Planning

Activities toward compliance:

- Reasonable Pace
- Annual Implementation Plan
- Guidehouse Rates Study

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Institutional Care

- Institutions, whether state-run or private, are funded by Medicaid State Plan and are considered an entitlement

Adult Residential

- Intermediate Care Facilities/Developmental Disabilities (ICFs/DD)
 - Licensed by Illinois Department of Public Health
- State Operated Developmental Centers (SODC) are state operated ICF/DDs for persons with behavioral and/or medical difficulties
 - Licensed by Illinois Department of Public Health

Children's Residential

- Child Care Institutions (CCI)
 - Licensed by Department of Children & Family Services

Waiver Programs

- Home & Community Based Services (HCBS) Medicaid Waivers are state-run programs that use federal and state funds to pay for supportive services in community setting.
- They allow states flexibility to design support systems outside of certain federal Medicaid statutory and regulatory requirements found under State Plan.

Waiver Programs

- Illinois operates nine (9) HCBS Medicaid Waivers and each is designed for people with similar needs and offers a different set of services. DDD operates 3 of these HCBS Medicaid Waivers.
 - Adults with Developmental Disabilities (DD) Waiver (~23,000)
 - Children’s Support Waiver (~ 1000)
 - Children’s Residential Waiver (~200)

Waiver vs. ICF Services

Intermediate Care Facilities (ICF/DD)

- Funded by State Plan (entitlement)
- Treated like similar Long Term Care Institutions
- Regulated by Illinois Department of Public Health (IDPH)
- Abuse & Neglect allegations investigated by IDPH (& OIG for SODCs)
- Does not need to comply with person centered planning or HCBS Settings Rules
- Private Illinois ICFs
 - 6 to over 100 person or less sites in facilities
 - 80% of facilities are 16 bed
 - Rate is determined by individual assessment and case mix of facilities
- Children's Institutions are licensed and regulated by Department of Children and Family Services

Waiver vs. ICF Services

Adult Waiver Funded Services

- Funded by Home & Community Based Waiver (not an entitlement)
- Licensed and/or certified by Department of Human Services (DHS) Bureau of Accreditation Licensure and Certification (BALC)
- Abuse & Neglect allegations investigated by
 - DHS Office of the Inspector General (OIG) for licensed/certified locations
 - Adult Protective Services (APS) for in-home settings
- Must comply with person-centered planning and Home and Community Based Settings Rules
- Community Integrated Living Arrangement (CILA) of 8 person or less sites in residential homes
 - Rate is determined by individual assessment + home size
- Children's services are licensed and regulated by Department of Children and

Waiver Services

- Children's Residential Waiver
 - Children's Group Homes (24 Hour)
 - Behavior Intervention & Treatment
- Children's Supports Waiver
 - Home Based Support Services (Self-Directed Model) (Monthly Maximum 2 x SSI)
 - Services: Self Directed Assistance, Personal Support Workers, Nursing, Temporary Assistance, Behavior Intervention & Treatment, Adaptive Equipment, Assistive Technology, Vehicle & Home Modifications

Waiver Services

- Adults with DD Waiver
 - Home Based Support Services (Self-Directed Model) (Monthly Maximum 3 x SSI)
 - Services: Self Directed Assistance, Personal Support Workers, Community Day Services, Nursing, Temporary Assistance, Supported Employment (Group & Individual), Behavior Intervention & Treatment, Other Therapeutic Services, Adaptive Equipment, Assistive Technology, Vehicle & Home Modifications
 - Adaptive Equipment, Assistive Technology, Vehicle & Home Modifications, Remote Supports
 - Community Integrated Living Arrangement (24 Hour, Intermittent, Family, Host Family)
 - Community Day Services
 - Supported Employment (Group & Individual)
 - Behavior Intervention & Treatment and other Therapeutic Services

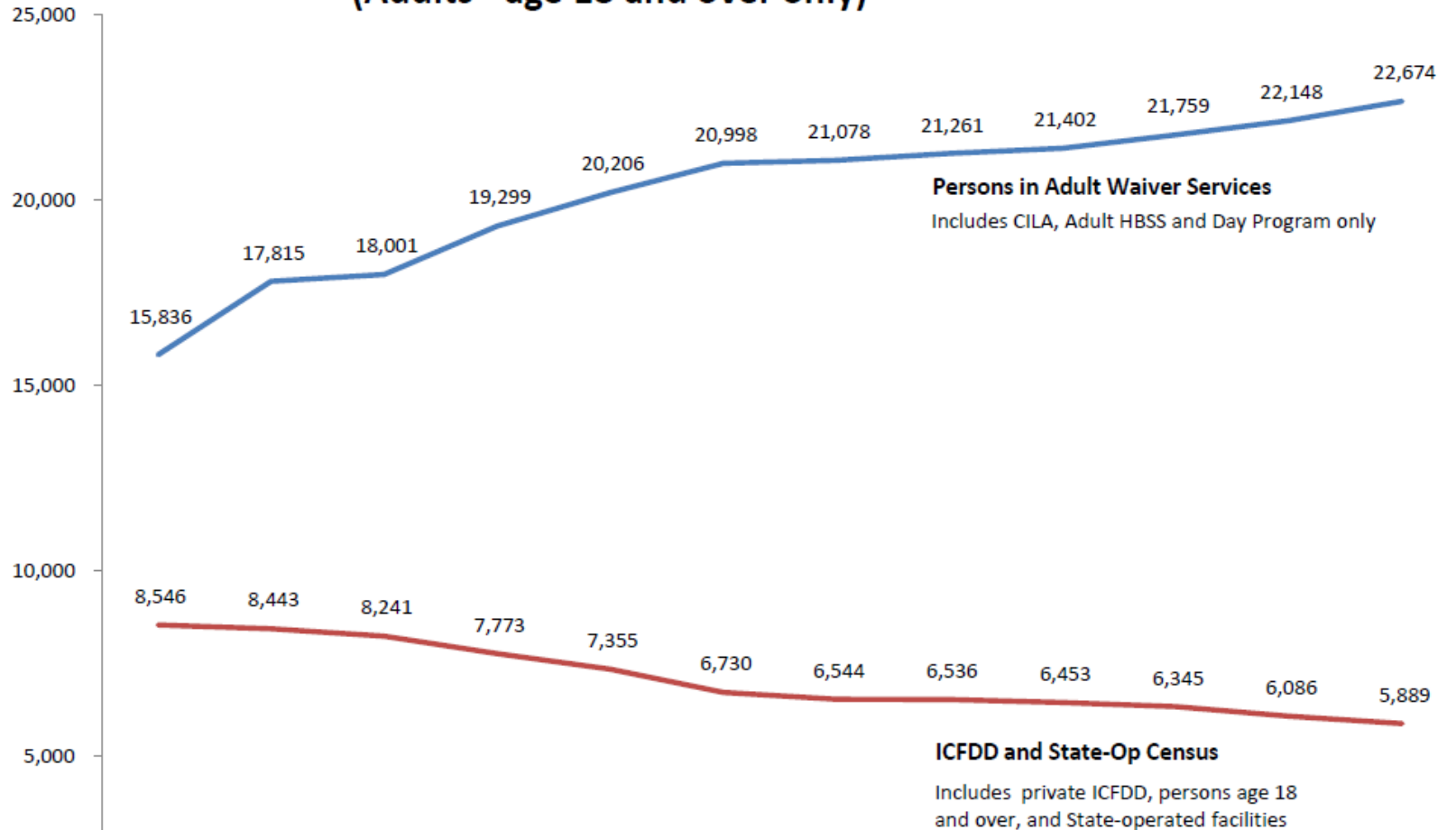
Adults in Community Waiver vs. Large Facilities

End-of-Year Persons

FY10 to FY21

(Adults - age 18 and over only)

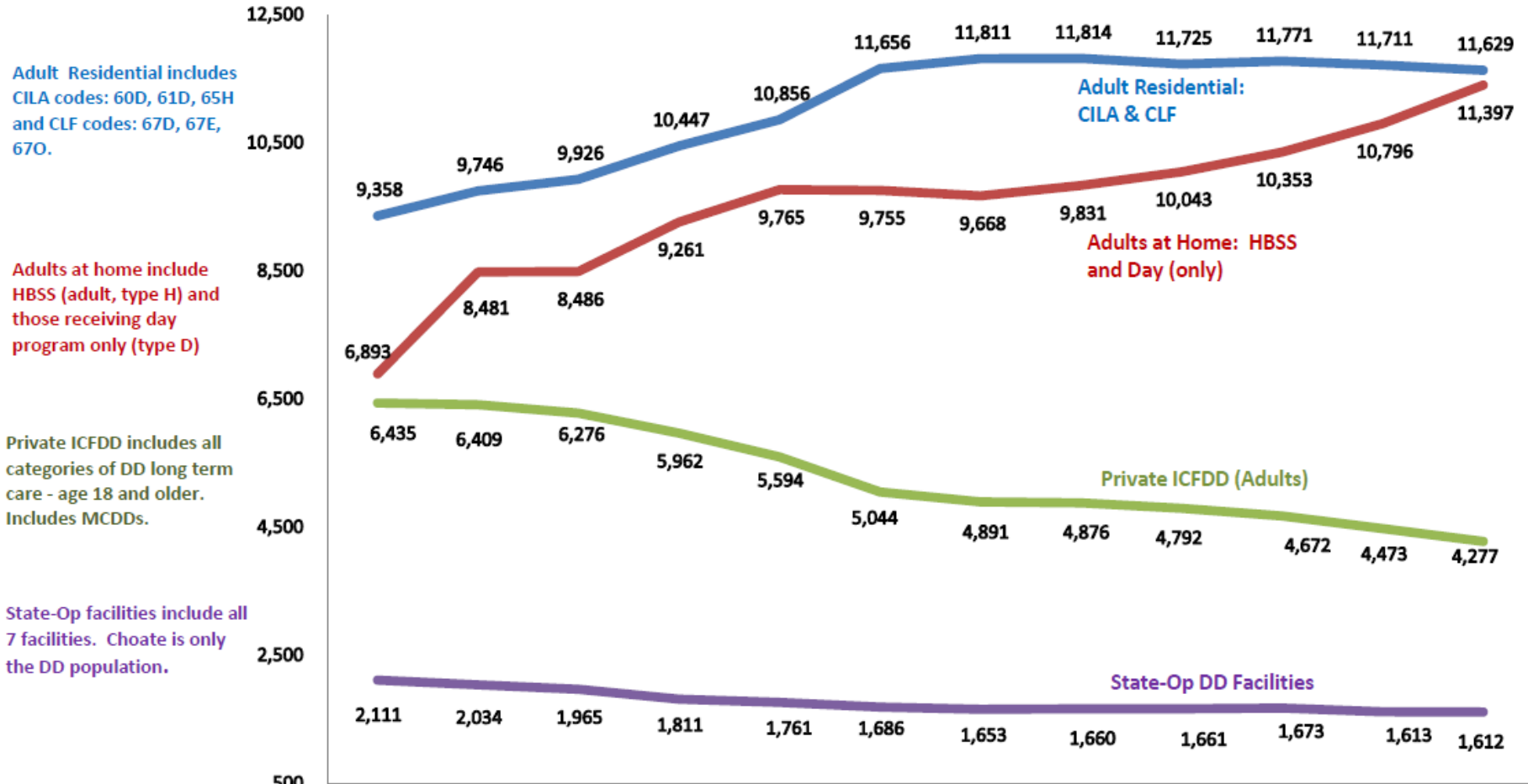
Since FY10 persons in adult waiver services have increased by 43% while SODC & ICFDD census has decreased by 31%.



	FY10	FY11	FY12	FY13	FY14	FY15	FY16	FY17	FY18	FY19	FY20	FY21
Adult Waiver Serv	15,836	17,815	18,001	19,299	20,206	20,998	21,078	21,261	21,402	21,759	22,148	22,674
ICFDDs & State-Op	8,546	8,443	8,241	7,773	7,355	6,730	6,544	6,536	6,453	6,345	6,086	5,889

Adults with Developmental Disabilities by Living Arrangement

End of Year Persons
FY2010 to FY2021
(Adults - age 18 and over only)



Adult Residential includes CILA codes: 60D, 61D, 65H and CLF codes: 67D, 67E, 67O.

Adults at home include HBSS (adult, type H) and those receiving day program only (type D)

Private ICFDD includes all categories of DD long term care - age 18 and older. Includes MCDDs.

State-Op facilities include all 7 facilities. Choate is only the DD population.

	FY2010	FY2011	FY2012	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021
Adult Residential: CILA, CLF	9,358	9,746	9,926	10,447	10,856	11,656	11,811	11,814	11,725	11,771	11,711	11,629
Adults at Home: HBS & Day Pgm (only)	6,893	8,481	8,486	9,261	9,765	9,755	9,668	9,831	10,043	10,353	10,796	11,397
Private ICFDD (age 18 & over only)	6,435	6,409	6,276	5,962	5,594	5,044	4,891	4,876	4,792	4,672	4,473	4,277
State Operated Facility	2,111	2,034	1,965	1,811	1,761	1,686	1,653	1,660	1,661	1,673	1,613	1,612

PUNS List

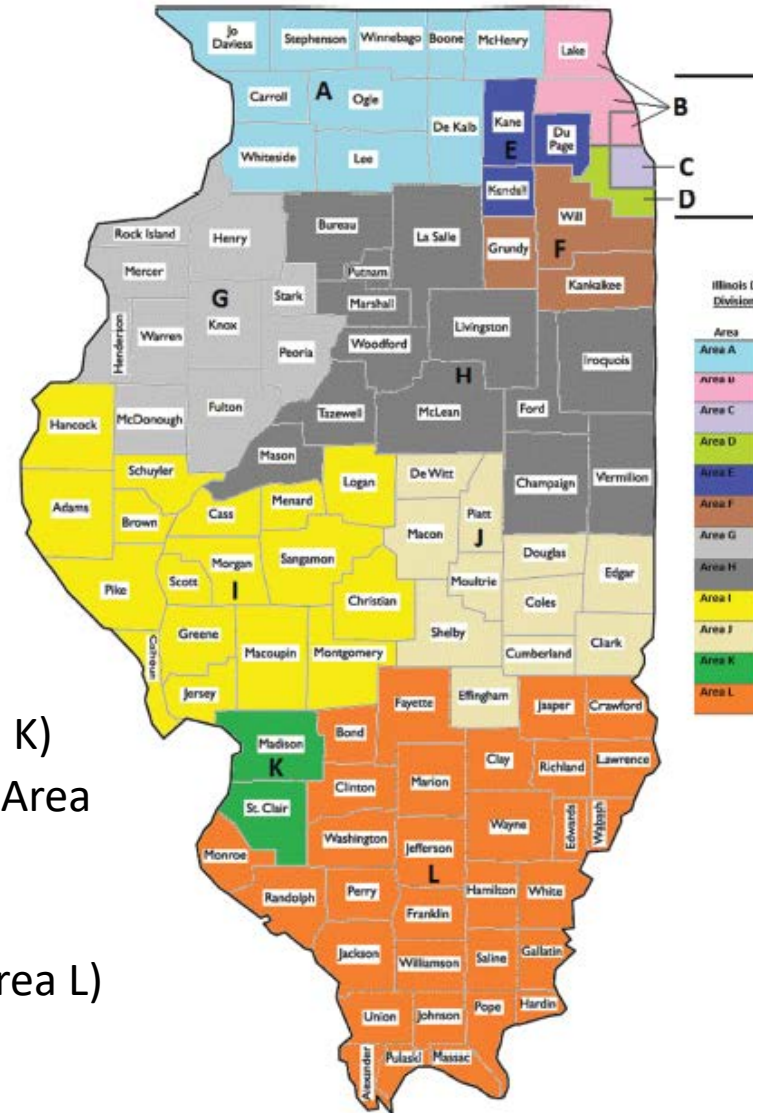
- The State’s database that registers children and adults with I/DD who want or need HCBS waiver funded DD services
- As funding is available, based on appropriation, people are selected from PUNS for services
- There are two categories of people on the PUNS List:
 - Seeking Services: Children and adults who currently need or desire services – PUNS selections are made from the Seeking category only
 - Planning for Services: Children and adults who do not currently need or desire services but may in the future
- Since 2018 “early notice letter” notifies individuals of their anticipated selection 6 months prior to official PUNS pull notification

PUNS List

- Children and Adults with I/DD and their families work with their local Independent Service Coordination (ISC) Agency to register on the PUNS
- Per the Ligas Reasonable Pace requirement, for FYs 21–25, the State must have at least 630 individuals from the PUNS List enter into services each year. After FY 25, no individual can wait more than 60 months (5 years) in the seeking services category. We are currently exceeding these requirements!
- Current PUNS (seeking AND planning): 14,586 as of 10/15/21 (19,503 on 12/01/19)
- Note: our PUNS list has been overstated due to the number of people on the PUNS that are already receiving waiver funded services. We are working through addressing the duplication and should have the database addressed by October 2021. We anticipate the list dropping to below 14,000.

ISC Regions & 8 ISC Agencies

- Service Inc. of Illinois (Area A, E, F)
- Community Alternatives Unlimited (Area B)
- Community Service Options (Area C)
- Suburban Access, Inc. (Area D)
- Prairieland Service Coordination Inc. (Area G, J, K)
- Champaign Co Regional Planning Commission (Area H)
- Central Illinois Service Access (Area I)
- Southern Illinois Case Coordination Services (Area L)



DHS Office Locator:

<https://www.dhs.state.il.us/page.aspx?module=12&officetype=&county=>

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Data Points

- Since 2011, increased the number of people receiving services at one time from 13,432 to 22,674 (as of June 20, 2021)
- Since FY17 nearly \$620 million in new funding has gone into the DD budget to provide for rate increases and new placements
- *Ligas* Reasonable Pace requirement of 60 months or less of wait time on PUNS by 2025 is being exceeded! In FY21, more than 700 people joined waiver services (we are required to serve 630 new individuals each year)
- PUNS - 6200 are actively waiting for services (over 18, seeking and not yet been pre-selected or selected for services) as of September 2021

FY 22 Initiatives

- Amend our current HCBS waiver to contemporize language, service definitions and increase rates
- Assess and invest in our Independent Service Coordination Agencies
- Continue to move toward compliance with the HCBS Settings Rule
- Complete the approval process for the revision of rules governing DDD waiver funded services (120, 115, 116, 117, 119).
- Explore Supports Waiver directed at transition age youth
- Explore service expansion for people that are dually diagnosed with I/DD and behavioral health needs.
- Continue to expand on use of remote supports, enabling tech, employment supports and supportive housing